



CLEVELAND & CO[™]

External in-house counsel

Your Global Outsourced In-House Legal Team

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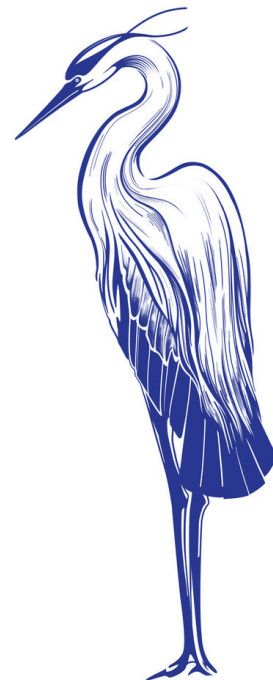


Your Most Trusted Legal Team

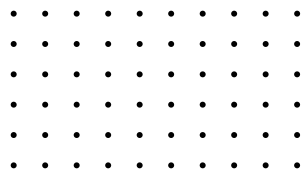
Cleveland & Co is an outsourced in-house legal team dedicated to the investment management industry. We deliver strategic, commercially-focused legal support to clients that represent over £9t in AUM ranging from boutiques to global asset managers. Our team operates as a true extension of your business, helping you execute with confidence, pace and clarity.



We are a specialist, pace setting alternative Law Firm that offers clients a full-service In-House Legal Team with extensive global experience across Financial Services, Investment Management and Commercial Contracts.



Our global client base includes asset management firms, banks, industry associations, pension funds, and boutique investment firms. The long term relationships we have with our clients are based on trust, having demonstrated that we are driven by their success and the positive impact we make on their business. Our legal costs are 100% transparent. We only offer monthly retainers and fixed fees, giving our clients better budgetary control and allowing us to build better partnerships.



What We Cover

- ✓ **Fund Structuring & Governance:** Formation, restructuring, M&A, and corporate advisory
- ✓ **Investment Transactions:** Derivatives, private equity, hedge funds, and co-investments
- ✓ **Distribution & Marketing:** Distribution strategies, agreements, and regulatory perimeter
- ✓ **Regulatory & Compliance:** UK, EU, and global frameworks including ESG, SFDR, and FCA authorisations
- ✓ **Operations & Risk Management:** Data protection, securities lending, administration agreements, and ongoing commercial support

Investment Management	Structuring & Restructuring	ESG
Distribution	Crypto	Custody
Funds	Securities, Trading & Investments	Corporate Governance
Derivatives	Intellectual Property	Enforcement
Employment	Corporate	Fintech
Financing	Commercial	Financial Services Regulation
Administration	Privacy	Alternative Funds

Who We Help

Trusted by 200+ clients across the industry with over £9 trillion of AUM



What Clients Say



Atlantic House Group

We were introduced to Cleveland&Co a few years ago and the relationship has proven to be very beneficial for us. The combination of expertise within the field, accessibility, speed and flexibility has been just what we needed.



Global Asset Manager

We very much value Cleveland & Co's support and responsiveness across a range of areas. They have a very committed, pragmatic and personable approach and understand the nature and needs of our business.



Bennelong Funds Management

The team at Cleveland & Co are warm and engaged. Our relationship managers have demonstrated a desire to be an integrated part of our activity. The advice we have received has been practical, timely and of a high quality.



Investment Manager

Cleveland & Co has been supporting us on our journey to a \$1bn plus asset under management for a few years. They have filled a need for us - having on hand a general counsel with multiple skill sets without having to employ a whole general counsel team.

Why Choose Us



Advisory Services

Our team is structured to give you access to a diverse team of high performing specialists with global experience covering financial services, corporate, commercial and compliance / regulatory matters. Our expertise and previous in-house experience allow us to work with a cross section of your team, from CEO to portfolio manager, and across EMEA, Asia Pacific and North America regions.

What We Offer

Clear, fixed-fee pricing structures

Specialists in the investment management industry

Seamless collaboration with your internal team

We combine legal depth with commercial pragmatism



Contact Us



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LET'S MAKE IT HAPPEN!



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